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41838

APPLICATION NO

Typed or printed name

01 FC:1504

FC:1501

7590

08/25/2008

GENERAL ELECTRIC COMPANY C/O FLETCHER YODER P.O. Box 692289

Houston, TX 77269-2289

11/24/2008 HVUONG2 00000092 070868

10731830

300.00 DA

FILING DATE

FIRST NAMED INVENTOR

November 19

nda Howel

ATTORNEY DOCKET NO. 133743-1/YOD

2008

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> CONFIRMATION NO. 4403

(Depositor's name)

(Signature (Date

10/731,830 12/09/03 Bahram Keramati (GERD:0072) TITLE OF INVENTION: METHOD AND SYSTEM FOR RADIATION-BASED REDUCTION OF PARTICULATE MATTER

APPLN. TYPE	SMALL ENTITY	ISSUE FEE		PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1440		\$300	\$1740	11/25/2008
EXAMINER		ART UNIT		CLASS-SUBCLASS	1	
LEUNG, PHILIP H		3742		219-686000	•	
CFR 1.363). Change of correspon Address form PTO/SB/1 "Fee Address" indica PTO/SB/47; Rev 03-02 Number is required. 3. ASSIGNEE NAME ANI	ation (or "Fee Address" Indicor more recent) attached. Use	Correspondence ation form of a Customer	(1) the na or agents (2) the na registered 2 register, no	nting on the patent front page, limes of up to 3 registered pater OR, alternatively, me of a single firm (having as attorney or agent) and the named patent attorneys or agents. If name will be printed. T (print or type) Dear on the patent. If an assign for filing an assignment.	a member a 2 2 3	ER YODER
(A) NAME OF ASSIGNEE General Electric Company		(I	(B) RESIDENCE: (CITY and STATE OR COUNTRY) Niskayuna, New York			
Please check the appropriat	e assignee category or catego	ries (will not be p	rinted on the p	oatent): 🗆 Individual 🗷 C	orporation or other private g	roup entity Government
4a. The following fee(s) are enclosed:		41	4b. Payment of Fee(s):			
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	s (from status indicated above SMALL ENTITY status. See	•	b. Appli	cant is no longer claiming SMA	LL ENTITY status. See 37 (CFR 1.27(g)(2).
The Director of the USPTC NOTE: The Issue Fee and I interest as shown by the rec	is requested to apply the Iss Publication Fee (if required) cords of the United States Pat	ue Fee and Publica will not be accepte ent and Trademark	tion Fee (if a d from anyon Office.	ny) or to re-apply any previousl e other than the applicant; a reg	y paid issue fee to the applications is tered attorney or agent; or	ation identified above. the assignee or other party in
Authorized Signature	79_			Ďate	November 19, 2008	
Typed or printed name	Patrick S. Yoder			Registration	_{1 No.} 37,479	

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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PART B - FEE(S) TRANSMITTAL Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 NOV 2, 4 2008 Alexandria, Virginia 22313-1450 or Fax (571) 273-2885 INTRUCTIONS: The form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks I through 5 should be completed where application of maintenance fees will be mailed to the current correspondence address as indicated these corrected below or directed otherwise in Block I, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 41838 7590 08/25/2008 Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. GENERAL ELECTRIC COMP. C/O FLETCHER YODER P.O. Box 692289 (Depositor's name Houston, TX 77269-2289 Lynda Howel (Signature (Date) Novem<u>ber 19</u> FIRST NAMED INVENTOR CONFIRMATION NO. FILING DATE ATTORNEY DOCKET NO. APPLICATION NO. 133743-1/YOD 10/731,830 12/09/03 Bahram Keramati 4403 (GERD:0072) TITLE OF INVENTION: METHOD AND SYSTEM FOR RADIATION-BASED REDUCTION OF PARTICULATE MATTER PUBLICATION FEE DATE DUE APPLN. TYPE SMALL ENTITY ISSUE FEE TOTAL FEE(S) DUE 11/25/2008 \$1440 \$300 \$1740 nonprovisional **EXAMINER** ART UNIT CLASS-SUBCLASS LEUNG, PHILIP H 3742 219-686000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list 1 FLETCHER YODER (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer listed, no name will be printed. Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) Niskayuna, New York General Electric Company ☐ Individual ☐ Corporation or other private group entity ☐ Government Please check the appropriate assignee category or categories (will not be printed on the patent): 4a. The following fee(s) are enclosed: 4b. Payment of Fee(s): ✓ Issue Fee A check in the amount of the fee(s) is enclosed. ☑ Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. ☑ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number _______ (enclose an extra copy of this form). Advance Order - # of Copies 5. Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status, See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. November 19, 2008 Authorized Signature Date Patrick S. Yoder 37,479 Typed or printed name Registration No.

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